

4.3 Critical Values and Extrema

Goals:

1. Understand **critical numbers** and how to find them.
2. Understand the difference between **relative extrema** and **absolute extrema**.
3. Understand how critical numbers relate to the relative extrema of the function.
4. Find absolute extrema on a closed interval.

Study 4.3 # 109-123

Class Notes: Prof. G. Battaly, Westchester Community College, NY

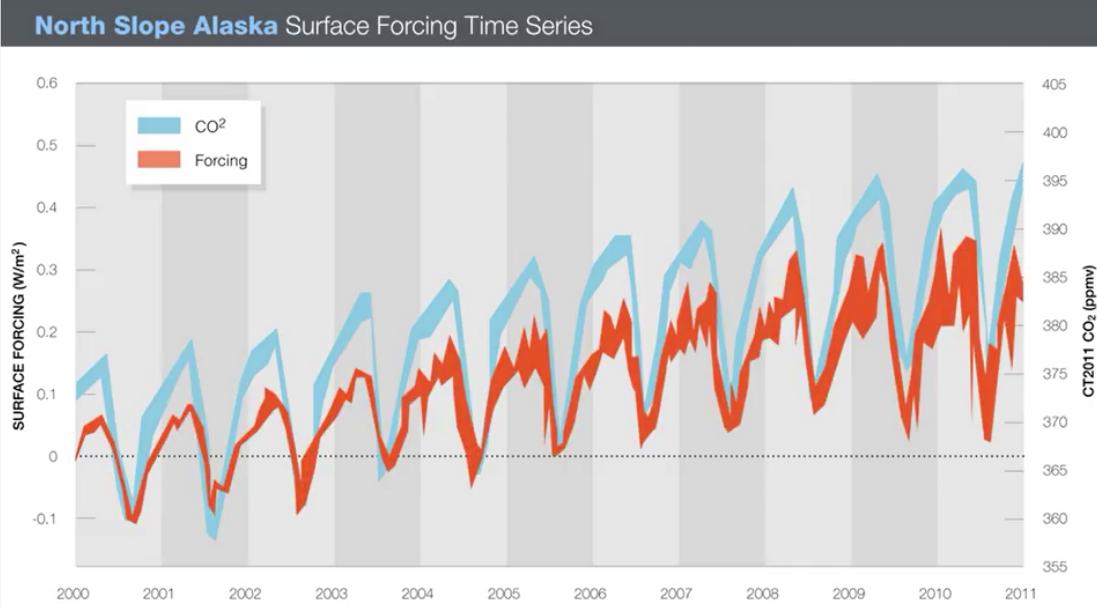
[Calculus Home Page](#)

[Homework](#)

Moving Shadow problem

4.3 Critical Values and Extrema

CO₂ time series



First Direct Observation of Carbon Dioxide's Increasing Greenhouse Effect at the Earth's Surface

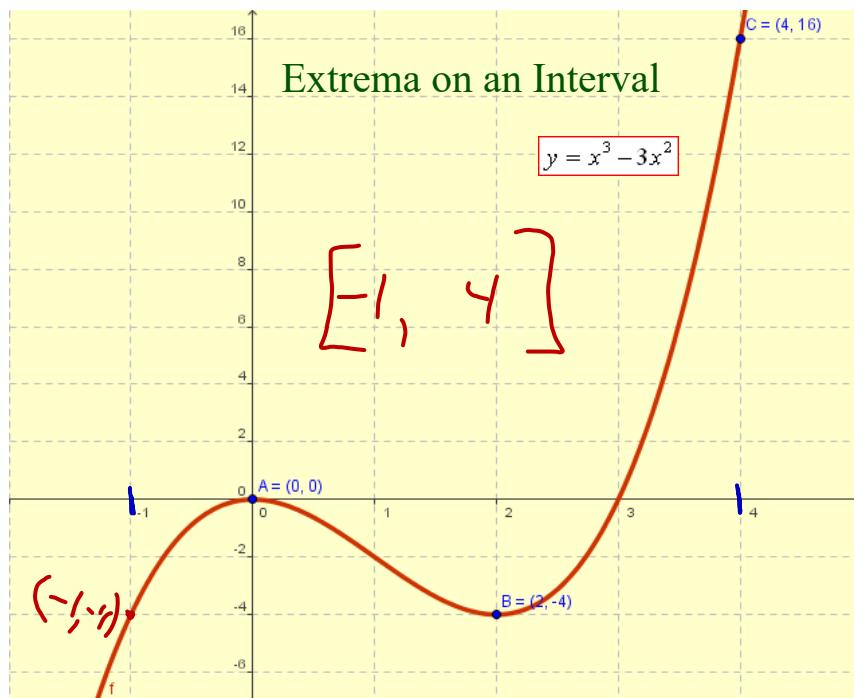
radiative forcing: difference between insolation (energy from sun) and energy radiated to space

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema



Describe what happens to the graph on $[-1, 4]$

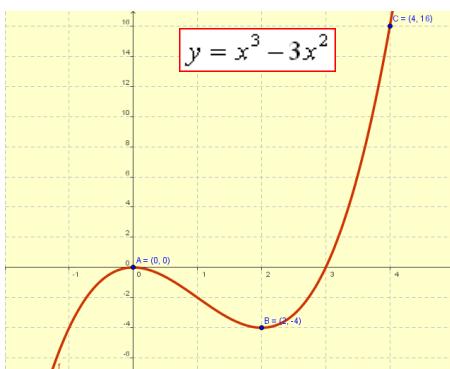
Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

Extrema on an Interval



Describe what happens to the graph on $[-1, 4]$

Looking at y values as x changes,

Is there a maximum y value on $[-1, 4]$?

Is there a minimum y value on $[-1, 4]$?

Would the minimum y value be different on $[0, 4]$?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

Definition of Extrema: Let f be defined on an interval I containing c . (Note that I can be open or closed.)

1. $f(c)$ is a **minimum of f** on I if $f(c) \leq f(x)$ for all x in I .
2. $f(c)$ is a **maximum of f** on I if $f(c) \geq f(x)$ for all x in I .

The maximum and minimum values are **extreme values**.

Note that these are values of f (or y), not values of x .

The minimum and maximum on the interval are called the **absolute minimum** and **absolute maximum**.

Extreme Value Theorem

If f is continuous on a **closed** interval $[a, b]$, then f has both a minimum and a maximum on the interval.

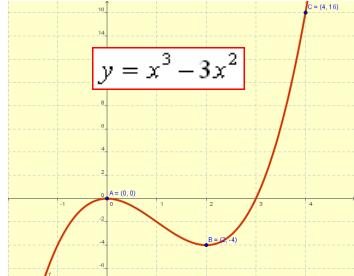
Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Homework](#)

[Calculus Home Page](#)

4.3 Critical Values and Extrema

Extrema on an Interval



Describe what happens to the graph on $[-1, 4]$

We need a way to describe the points where the graph of f turns:
 from up to down
 from down to up

Restrict the interval to an **open interval** containing the point. Then,

Definition of Relative Extrema:

1. If there is an **open interval** containing c on which $f(c)$ is a maximum, then $f(c)$ is called a **relative maximum** of f . Or **f has a relative max at $(c, f(c))$** .
2. If there is an **open interval** containing c on which $f(c)$ is a minimum, then $f(c)$ is called a **relative minimum** of f . Or **f has a relative min at $(c, f(c))$** .

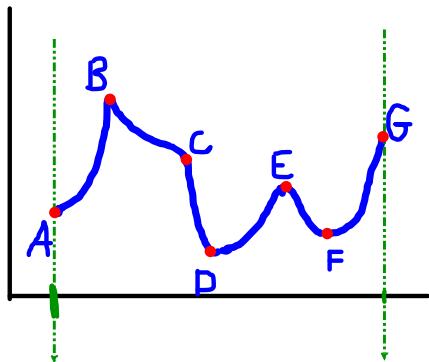
Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

Problem 1. At each labeled point, determine if there is a relative min or max, or an absolute min or max.



- A
B
C
D
E
F
G

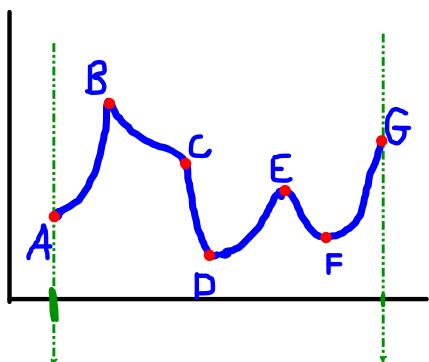
Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

Problem 1. At each labeled point, determine if there is a relative min or max, or an absolute min or max.



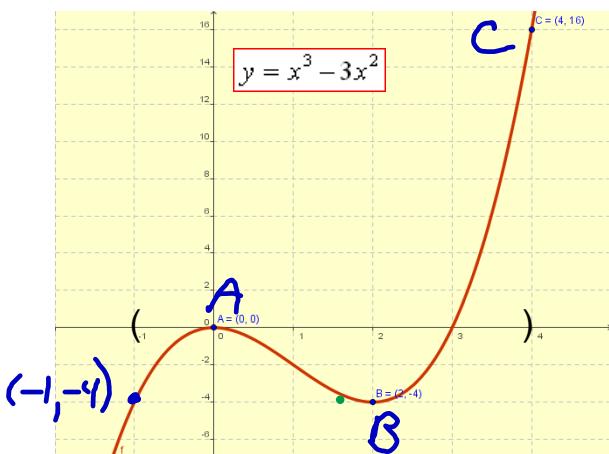
- A none
B Absolute Max
C none
D Absolute Min
E relative max
F relative min
G none

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

On $[-1, 4]$

$\text{ABS. MIN} =$
 $\text{" MAX} =$

$\text{rel min} =$
 $\text{rel max} =$

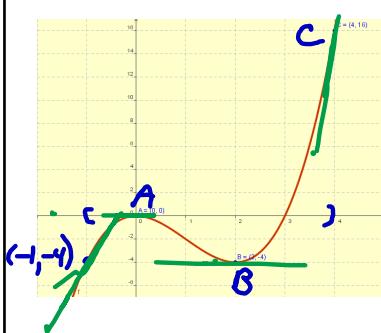
What is the slope of the tangent line at these extrema?
 Can the slope help us to find the extrema?
 If so, for which extrema is it helpful?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

3.1 Extrema on an Interval



4.3 Critical Values and Extrema

$\text{ABS. MIN} = -4$
 $\text{" MAX} = 16$
 $\text{rel min} = -4$
 $\text{rel max} = 0$

Definition of **Critical Number:** CN

Let f be defined at c , c an interior point.

If $f'(c) = 0$, or

if f is not differentiable at c ,

then c is a critical number of f .

CN

- ① f defined
 - ② $f'(c) = 0$
 - ③ $f'(c)$ DNE
- c is X value

Class Notes: Prof. G. Battaly, Westchester Community College, NY

CN

Calculus Home Page

Homework

4.3 Critical Values and Extrema

$$g(x) = x^2(x^2 - 4) = x^4 - 4x^2 \quad F: CNs$$

CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)[Homework](#)

4.3 Critical Values and Extrema

$$g(x) = x^2(x^2 - 4) = x^4 - 4x^2$$

① g defined for all x

$$\text{② } g'(x) = 4x^3 - 8x$$

$$CN: g'(x) = 0$$

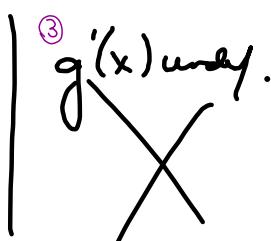
$$4x(x^2 - 2)$$

$$x=0 \quad x=\pm\sqrt{2}$$

There are 3 Critical Numbers

CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?



Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)[Homework](#)

4.3 Critical Values and Extrema

$$y = |x|$$

$F: CN$

CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

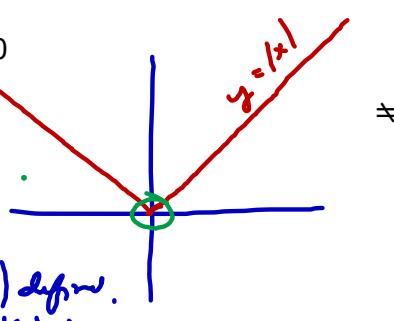
4.3 Critical Values and Extrema

$$y = |x| \quad F: CN$$

① y defined for all x

$$\textcircled{2} \quad y' = \begin{cases} +1, & x > 0 \\ -1, & x < 0 \end{cases} \rightarrow y' \neq 0$$

③ not differentiable at $x=0$



is CN ber. $f(0)$ defn.
but $f'(0)$ dnc

CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

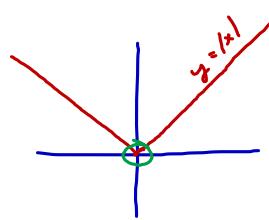
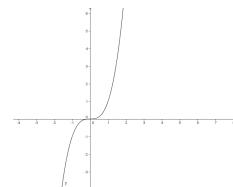
4.3 Critical Values and Extrema

Relationship between extrema and CN?

Do all extrema occur at CNs?

Do all CNs occur at extrema?

Extrema $\xrightarrow{\text{?}}$ CN



Class Notes: Prof. G. Battaly, Westchester Community College, NY

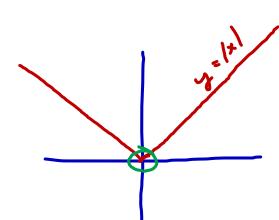
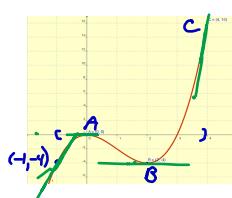
[Calculus Home Page](#)

[Homework](#)

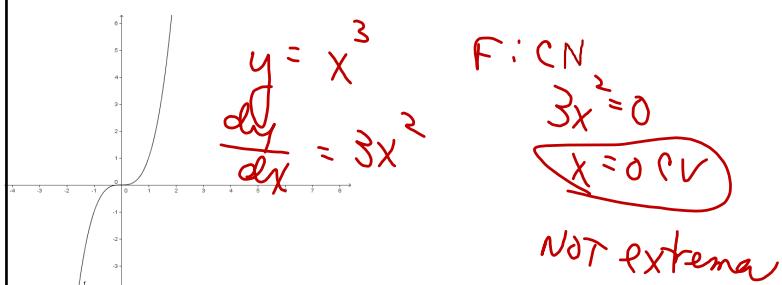
4.3 Critical Values and Extrema

At extrema, $f'(x)=0$
or $f'(x)$ dne

3.1 Extrema on an Interval



Extrema $\xrightarrow{\text{ }}$ CN



Extrema \nleftrightarrow CN

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

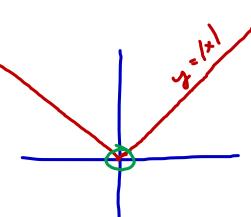
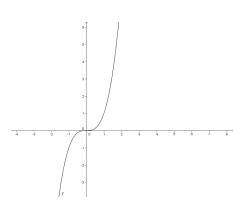
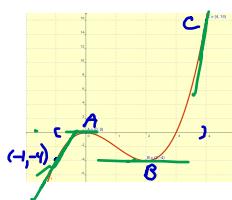
4.3 Critical Values and Extrema

Relationship between extrema and CN?

Do all extrema occur at CNs? Yes.

Do all CNs occur at extrema? Not always, but sometimes.

3.1 Extrema on an Interval



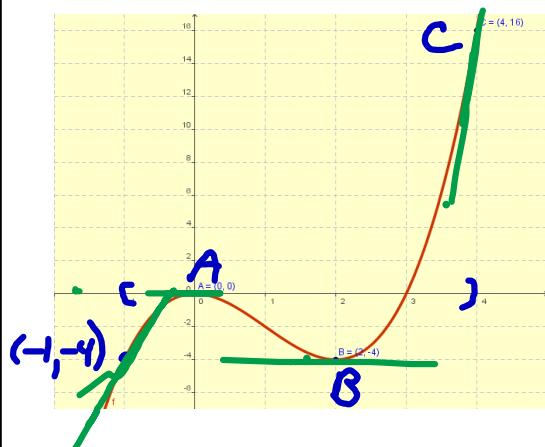
Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

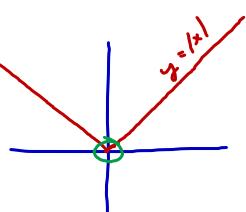
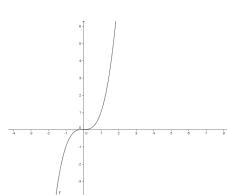
4.3 Critical Values and Extrema

How Can We Find Absolute Extrema on a Closed Interval?



Can occur at:

- 1) _____ or
- 2) _____



1) CV
2) end points

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

4.3 Critical Values and Extrema

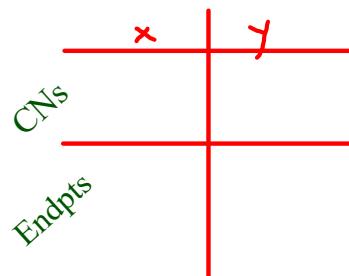
Find Absolute Extrema, Closed Interval

1. Find CV's for the interval and corresponding y values
2. Evaluate to find y at the endpoints
3. Compare all y values for both the CV's and the endpts.

Largest - ABSOLUTE MAX

Smallest - ABSOLUTE MIN

at CN or endpt⁺



Class Notes: Prof. G. Battaly, Westchester Community College, NY

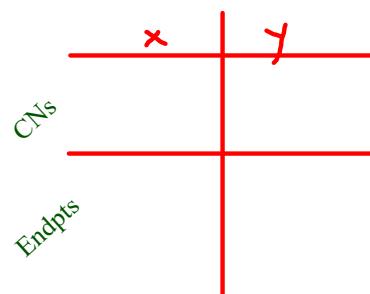
[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

$$G: f(x) = x^2 + 2x - 4 \quad F: \text{abs. ext. on } [-1, 1]$$

at CN or endpt⁺



CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)

4.3 Critical Values and Extrema

$$G: f(x) = x^2 + 2x - 4$$

① f defined for all x

CNs $f'(x) = 0$ or $\cancel{\text{DNE}}$ ^③ point.

$$\text{② } f'(x) = 2x + 2$$

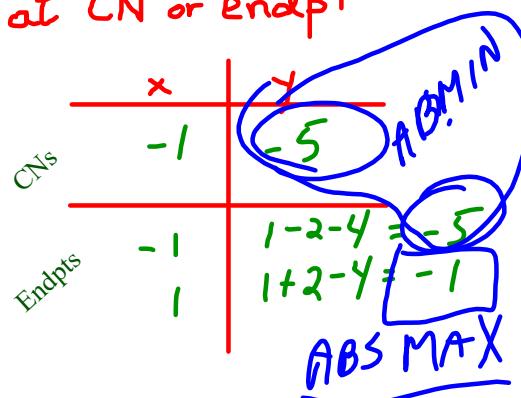
$$2x + 2 = 0$$

$$x + 1 = 0$$

$$x = -1$$

F: abs. ext. on $[-1, 1]$

at CN or endpt



CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

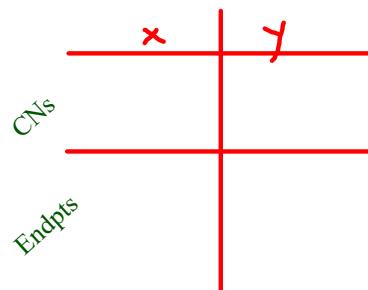
Homework

4.3 Critical Values and Extrema

$$y = |x|$$

F: abs. ext. on $[-2, 3]$

at CN or endpt



CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

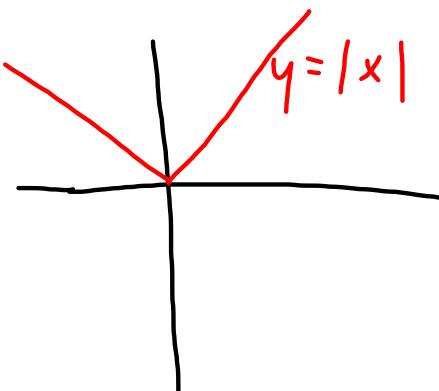
4.3 Critical Values and Extrema

 $F: \text{abs. ext. on } [-2, 3]$

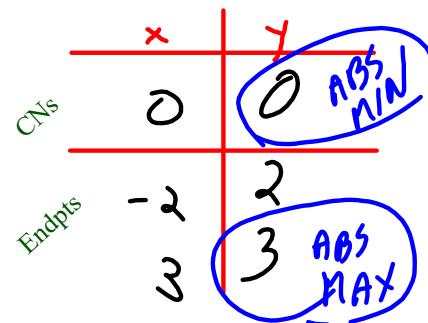
$$y = |x|$$

③

$$\text{CN: } x=0 \quad \frac{dy}{dx} \text{ DNE}$$



at CN or endpt



CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

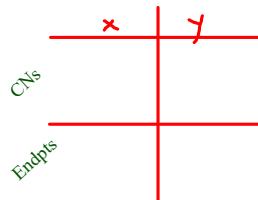
4.3 Critical Values and Extrema

$$G: f(x) = \frac{2x}{x^2 + 1} \quad F: \text{Abs Ext} [2, 2]$$

CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

at CN or endpt



Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

4.3 Critical Values and Extrema

$$G: f(x) = \frac{2x}{x^2 + 1}$$

CN

$$f'(x) = \frac{(x^2 + 1)(2) - 2x(2x)}{(x^2 + 1)^2}$$

$$= \frac{2x^2 + 2 - 4x^2}{(x^2 + 1)^2}$$

$$= \frac{2 - 2x^2}{(x^2 + 1)^2} = \frac{2(1-x^2)}{(x^2 + 1)^2}$$

$$\textcircled{2} \quad f'(x) = 0: \frac{2(1-x^2)}{(x^2 + 1)^2} = 0$$

$$2(1-x^2) = 0$$

$$1-x^2 = 0$$

$$(1-x)(1+x) = 0$$

$$1-x = 0$$

$$x = 1$$

$$1+x = 0$$

$$x = -1$$

$f'(x)$ def. all x

③

f' defined for all x ($\text{den} \neq 0$)

F: Abs. Extr. $[2, 2]$

f defined for all x



CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

at CN or endpt

x	y
-1	-2/2 = -1
1	2/2 = +1
-2	-4/5
2	4/5

CN $\frac{dy}{dx} \neq 0$ n/a

$\frac{dy}{dx} = 0 \quad a = 0 \quad \frac{dy}{dx} = 0$
 $\perp = 0 \quad \frac{dy}{dx}$ DNE

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

4.3 Critical Values and Extrema

$$G: h(t) = \frac{t}{t-2}$$

$t \neq 2 \notin [3, 5]$

F: Abs. Extr. $[3, 5]$

CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

at CN or endpt

x	y
3	3
5	5

Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

4.3 Critical Values and Extrema

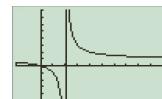
$$G: h(t) = \frac{t}{t-2} \quad F: \text{Abs. Extr. } [3, 5] \quad t \neq 2 \notin [3, 5]$$

① h defined for all x

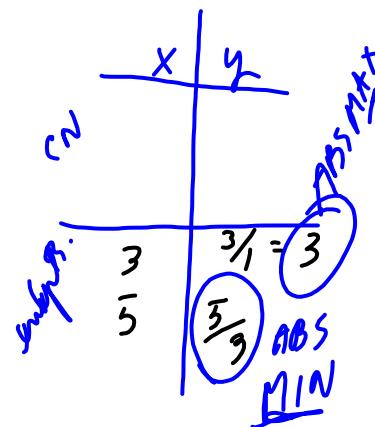
$$h'(t) = \frac{(t-2)(1) - t(1)}{(t-2)^2}$$

$$= \frac{t-2-t}{(t-2)^2} = \frac{-2}{(t-2)^2}$$

② C_N : not for $h'(t) = 0$
 $h'(t)$ dne at $t=2$ & h not dy.



- CN:
 1. y defined?
 2. x when $y' = 0$?
 3. x when y' dne?



Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

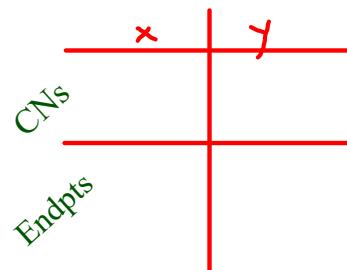
Homework

4.3 Critical Values and Extrema

$$G: f(x) = x^3/12x \quad F: \text{Abs. Extr. } [0, 4]$$

- CN:
 1. y defined?
 2. x when $y' = 0$?
 3. x when y' dne?

at CN or endpt⁺

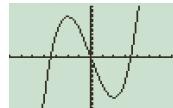


Class Notes: Prof. G. Battaly, Westchester Community College, NY

Calculus Home Page

Homework

4.3 Critical Values and Extrema



$$G: f(x) = x^3 - 12x \quad F: \text{Abs. Ext. } \underline{[0, 4]}$$

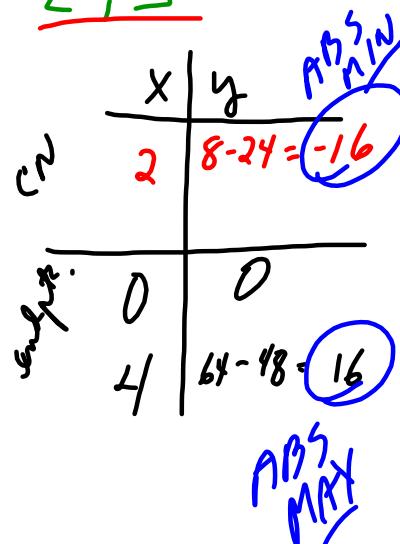
① f defined for all x

$$f'(x) = 3x^2 - 12 = 3(x^2 - 4)$$

CN:

$$\textcircled{2} \quad 3(x^2 - 4) = 0$$

$$\textcircled{3} \quad x = \pm 2 \quad -2 \notin [0, 4]$$



CN:

1. y defined?
2. x when $y' = 0$?
3. x when y' dne?

Class Notes: Prof. G. Battaly, Westchester Community College, NY

[Calculus Home Page](#)

[Homework](#)